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Frank C. Eisenschenk, Ph.D., Patent Attorney

INFORMATION DISCLOSURE STATEMENT Examining Group 1641 Patent Application Docket No. G-090US04DIV Serial No. 10/720,532

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

1641

**Applicant** 

Jan Sudor

Serial No.

10/720,532

Filed

November 24, 2003

Conf. No.

4393

For

Surface Adsorbing Polymers and the Uses Thereof to Treat Hydrophobic or

Hydrophilic Surfaces

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.97 and §1.98, Applicant would like to bring to the attention of the Examiner, the references cited in the following patent applications:

U.S. Serial No. 09/975,192, filed October 10, 2001, now U.S. Patent No. 6,709,692.

The subject application, Serial No. 10/720,532, claims the benefit under 35 USC §120 of the filing date of the patent application Serial No. 09/975,192. Applicant respectfully requests that the copies of references supplied in the Information Disclosure Statements of the 09/975,192 application, as well as references cited during the prosecution thereof, be made of record in the 10/720,532 application. As copies of the references filed in the 09/975,192 application, and cited on the attached

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form PTO/SB/08, can be found in the 09/975,192 casefile, copies of those references are not provided herewith.

It is respectfully requested that the references cited in the 09/975,192 application be considered in the examination of the subject application and that their consideration be made of record.

Applicant respectfully asserts that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,

Frank C. Eisenschenk, Ph.D.

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Attachment: Form PTO/SB/08



PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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7	Substitute for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Application Number	10/720,532	
					Filing Date	November 24, 2003	
					First Named Inventor	Jan Sudor	
	(u	se as many sheet	s as nec	cessary)	Art Unit	1641	
					Examiner Name		
	Sheet	1	of	2	Attorney Docket Number	G-090US04DIV	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U1	US-4,783,441	11/08/1998	Thurow, Horst	All
	U2	US-5,962,136	10/05/1999	Dewez et al.	All
	U3	US-5,516,703	05/14/1996	Caldwell et al.	All
	U4	US-			
	U5	US-			
	U6	US-			
-	U7	US-			
	U8	US-			
	U9	US-			

	FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
Examiner Initials*		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)					
	F1	EP 1016864 A2	05/07/2000	Affymetrix, Inc.	All		
	F2	WO 94/03544 A1	02/17/1994	Baxter International, Inc.	All		
	F3						
	F4						
	F5						
	F6						
	F7						

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard T.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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Complete if Known Substitute for form 1449B/PTO **Application Number** 10/720,532 INFORMATION DISCLOSURE **Filing Date** November 24, 2003 STATEMENT BY APPLICANT **First Named Inventor** Jan Sudor **Group Art Unit** 1641 (use as many sheets as necessary) **Examiner Name** Sheet of 2 **Attorney Docket Number** G-090US04DIV

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	R1	SHOFFNER, M.A. <i>et al.</i> "Chip PCR I. Surface Passivation of Microfabricated Silicon-glass chips for PCR" <i>Nucleic Acids Research</i> , 1996, pp. 375-379, Vol. 24, No. 2, Oxford University Press, Surrey, GB.				
	R2	GIORDANO, BRADEN et al. "Towards dynamic coating of glass microchip chambers for amplifying DNA via the polymerase chain reaction", <i>Electrophoresis</i> , 2001, pp. 334-340, Vol. 22, No. 2.				
	R3	Search Report for International Application No. PCT\US01\42631 (International Publication No. WO 02\030571A3).				
	R4	LEE, J.H. et al. "Surface Properties of Copolymers of Alkyl Methacrylates with Methoxy (Polyethylene Oxide) Methacrylates and Their Application as Protein-resistant Coatings", Biomaterials, September 1990, Vol. 11, pp. 455-464, Butterworth-Heinemann Ltd., 0142-9612/90/070455-10.				
	R5	LEE, J.H. et al. "Protein-resistant Surfaces Prepared by PEO-containing Block Copolymer Surfactants", Journal of Biomedical Materials Research, 1989, Vol. 23, pp. 351-368, John Wiley & Sons, 0021-9304/89/030351-18.				
	R6	AMIJI, M. et al. "Prevention of Protein Adsorption and Platelet Adhesion on Surfaces by PEO/PPO/PEO Triblock Copolymers", Biomaterials, 1992, Vol. 13, No. 10, pp. 682-692, Butterworth-Heinemann, Ltd., 0142-9612/92/100682-11.				
	R7					
	R8					
	R9					
	R10					
	R11					
	R12					
	R13					

Examiner	Date	
Signature	Considered	

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